# **EXHIBIT 1**

# A. Identifying Data:

Name: Mark Allan Kay

Nationality: U.S.A.

Born: January 9<sup>th</sup>, 1958

# B. Academic History:

#### Education

1976-1980 B.S. Michigan State University

Physical Sciences

1980-1986 Ph.D. Case Western Reserve University

**Developmental Genetics** 

1980-1987 M.D. Case Western Reserve University

# Postgraduate Training

1987-1990 Internship and Residency, Baylor College of Medicine,

Houston, TX - Department of Pediatrics

1990-1993 Medical Genetics Clinical Fellowship, Baylor College of Medicine.

Post-doctoral research - Laboratory director, Savio Woo, Ph.D.

Project - Gene Therapy for Hepatic Deficiencies

### **Educational Scholarships and Honors**

Phi Kappa Phi Honorary Society - 1980

Arthur F. Hughes Memorial Award for Outstanding Research in Developmental Biology - 1986

The Upjohn Achievement Award - Excellence in Clinical Pharmacology – 1987

Henry Christian Award for Excellence in Research - American Federation for Clinical Research – 1992,

American Society of Human Genetics - student award for best paper in category of post-doctoral, basic sciences - 1992

### **Board Certification**

Diplomate of the American Board of Pediatrics - 1990-1997

Diplomate of the American Board of Medical Genetics in:

- 1) Clinical Biochemical Genetics -1993-2003
- 2) Clinical Genetics 1993-2003

### Clinical Trials

Phase I/II AAV-human factor IX mediated gene transfer into skeletal muscle 1998-1999 Co-PI; 1999-2001 Scientific Advisor

Phase I/II AAV-human factor IX mediated gene transfer into liver IND BB-9398 Holder 1/2001-1/2002;

Scientific advisor 2002-2005

# <u>C. Employment History:</u> <u>Faculty Appointments</u>

03/01/93- 06/30/93	Acting Assistant Professor, Department of Medicine University of Washington
06/01/93 - 1994	Assistant Professor, Department of Medicine Investigator, Markey Molecular Medicine Center University of Washington
1994	Adjunct Assistant Professor, Department of Pediatrics University of Washington
1995	Adjunct Assistant Professor, Department of Biochemistry University of Washington
1995	Adjunct Assistant Professor, Department of Pathology University of Washington
1997 - 07/31/98	Associate Professor of Medicine with adjuncts in Pediatrics, Biochemistry and Pathology, University of Washington
8/01/98 (with tenure)	Associate Professor, Departments of Pediatrics and Genetics, Stanford University School of Medicine
8/01/98 - present	Director, Program in Human Gene Therapy, Stanford University School of Medicine
5/01/01 - present	Professor, Departments of Pediatrics and Genetics, Stanford University
2/01/03 - 2004	Co-Founder and Chief Scientific Advisor of Avocel
10/01/05 - present	Dennis Farrey Family Professor
4/2009 – present	Associate Chair for Basic Research- Dept of Pediatrics

# D. Public and Professional Service:

# **University Committees**

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1994-1998 Medical Scientist Training Program Steering Committee

1995-1997 **Medical School Admissions** 

# Stanford University

1998-1999	Children's Health Initiative - Genetics Subcommittee
1998-present	Medical Scientist Training Program Steering Committee
1998-present	Search Committees for 4 separate faculty positions
1999-9/2004	Dean's Fellowship Committee
1999-present	Administrative Panel on Biosafety Committee
2000-present	Children's Health Initiative Grant Review Committee

2001-9/2003	Dean's Committee on Post-doctoral Affairs
2003-present	Department of Genetics Graduate School Admissions
2001-present	Berry Foundation Committee
2002-9/2005	Stanford University Faculty Senate
2002-9/2005	Faculty Senate Executive Committee
2006-present	Chairman, Berry Fellowship Committee

# E. Honors and Awards:

# Memberships in Professional Associations and Learned Societies

American Society of Human Genetics
American Academy of Pediatrics
American Association for the Advancement of Science
Western Society for Clinical Investigation
American Society of Gene Therapy
American Society of Microbiology
Japanese Society of Inherited Metabolic Disease- honorary member

### Editorial Boards/Editorships

- 1. Editorial Board, Gene Therapy, March 1995-2007
- 2. Editorial Board, Human Gene Therapy, September 1995-present
- 3. Editorial Board, Molecular Therapy, August 1999-2003
- 4. Associate Editor, Human Gene Therapy, 2000-present
- 5. Associate Editor, Molecular Therapy, 2006-present
- 6. Associate Editor, Silence 2009-present

#### Other Scientific Leadership Roles

- 1. National Gene Vector Laboratory Scientific Review Board, March 1996-2002
- 2. Advisory Board for the Max Delbruck Center Sixth International Symposia of Gene Therapy, 1997-1998
- 3. Scientific planning board of the German-American Frontiers of Science sponsored by the National Academy of Science, 1997-1998
- 4. American Society of Gene Therapy Board of Directors, 1997-2000
- 5. Ad hoc reviewer for the NIH, 1997-2000
- 6. Founding Board of Directors, American Society for Gene Therapy, 1997-2000
- 7. Co-organizer, 1999 Keystone Symposium on Gene Therapy
- 8. FDA-AAV working group related to planning platform studies and a shared drug master file for rare diseases, 1999-2000

- 9. European Society for Gene Therapy, Committee on Gene Therapy for Genetic Diseases, November 2000-November 2001
- 10. NIH Study Section Member Medical Biochemistry, February 2000-January 2004
- 11. Co-organizer of 2001 Keystone meeting on Gene Therapy
- 12. IND Holder BB-9398 Intrahepatic AAV Gene Transfer for Hemophilia B, January-December 2001
- 13. Co-Organizer American Society of Microbiology Meeting on Viral Vectors, April 2001
- 14. Gene Therapy Working Group-National Hemophilia Foundation, June 2001-2003
- 15. Chair, Committee on Genetic Diseases-American Society for Gene Therapy, 2001-2003
- 16. Co-Organizer American Society of Microbiology Meeting on Viral Vectors, February 2002
- 17. Chair, Organizing Committee of the Gordon Conference on Viral Vectors for Gene Therapy, 2003-2004
- 18. Vice President of the American Society of Gene Therapy, 2003-2004.
- 19. President Elect of the American Society of Gene Therapy, 2004-2005.
- 20. President of the American Society of Gene Therapy, 2005-2006.
- 21. Vice President of Oligonucleotide Therapeutic Society 2009-2011.

# Academic Honors and Awards

Western Society for Clinical Investigation, Young Investigator Award - February 1996 Arosenius Swedish Honorary Lectureship - 1997 American Society for Clinical Investigation-elected member - 1997 E. Mead Johnson Award for Pediatric Researcher of the Year - 2000 National Hemophilia Foundation Researcher of the Year - 2000 Named Professorship-Dennis Farrey Family Professor -2005

#### **Invited Addresses**

(Selected-excluding seminars at academic/industrial institutions – over 300 total)

- 1. Cold Spring Harbor Human Gene Therapy, Cold Spring Harbor, NY, October 1992.
- 2. Human Gene Therapy and Mutant Annual Models, Max-Delbruck Center for Molecular Medicine Berlin-Buch, Berlin, Germany, March 1993.
- 3. American Society of Human Genetics Workshop on Human Gene Therapy, New Orleans, LA, October 1993.
- 4. International Conference on Coagulation Inhibitors, Chapel Hill, NC, November 1993.
- 5. Hemophilia Today, Poitiers, France, March 1994.
- 6. Immuno Hemophilia Update, St. Thomas, VI, March 1994.
- 7. American Pediatric Society and Society of Pediatric Research, National Pediatric Blood Club Symposium, Seattle, WA, May 1994

- 8. International Conference of the American Thoracic Society, Boston, MA, May 1994.
- 9. Advances in the Treatment of Hemophilia and von Willebrand's Disease, Oakland, CA, June 1994.
- 10. International Symposium on Gene Therapy, Valencia, Spain, November 1994.
- 11. Science in Medicine Lecture, University of Washington, WA, February 1995.
- 12. American Association for the Advancement of Science (AAAS) plenary session of Gene Therapy, Atlanta, GA, February 1995.
- 13. Third Annual Conference on Gene Therapy, Berlin, Germany, April 1995.
- 14. NIH Panel to Assess the NIH Investment in Research on Gene Therapy, San Francisco, CA, August 1995.
- 15. National Hemophilia Foundation Meeting, Philadelphia, PA, October 1995.
- 16. Tenth Anniversary: Vascular Gene Transfer: Models of Disease and Therapy, Bethesda, MD, March 1996.
- 17. Region IX Hemophilia Foundation Meeting, Napa Valley, CA, March 1996.
- 18. Organizer and Chair of session on Gene Therapy and Animal Models for the XXII International Congress of the World Federation of Hemophilia, Dublin, Ireland, June 1996.
- 19. Eighth Japanese-American Conference of Pharmacokinetics and Biopharmaceutics, Seattle, WA, July 1996.
- 20. National Hemophilia Meeting, San Diego, CA, September 1996.
- 21. Organizing committee of the 10th Annual Cystic Fibrosis Conference, Orlando, FL, October 1996.
- 22. 39th Meeting of the Japanese Society of Inherited Metabolic Disease, Tokyo, Japan, November, 1996.
- 23. Third Japanese Workshop on Gene Therapy, Tokyo, Japan, November, 1996.
- 24. American Association for the Advancement of Science (AAAS) plenary session on Human Genetics, Seattle, WA February, 1997.
- 25. Keystone Meeting, Cellular and Molecular Basis for Gene Therapy, Snowbird, UT, April 1997.
- 26. Muscular Dystrophy Association DMD Gene Therapy Workshop, Tucson, AZ, May 1997.
- 27. Williamsburg Cystic Fibrosis Meeting on Recent Advances in Gene Therapy, Williamsburg, VA, June 1997.
- 28. 3rd Annual Symposium on German-American Frontiers of Science, Munich, Germany, June 1997.
- 29. International Conference on Gene Therapy for Hemophilia, Chapel Hill, NC, September 1997.
- 30. The 11th Annual Cystic Fibrosis Conference, speaker and session chair Nashville, TN, October 1997.
- 31. International Society for Liver Transplantation, Seattle, WA, October 1997.
- 32. American Society for Human Genetics-Educational Session speaker, Baltimore, MD, October 1997.
- 33. European Workshop on Gene Therapy, Milan, Italy, November 1997.
- 34. Arosenius Honorary Lecture on Gene Therapy for Hemophilia, Stockholm, Sweden, November 1997.
- 35. Keystone Symposium on the Molecular and Cellular Biology of Gene Therapy, Keystone, CO, January 1998.

- 36. Society for Pediatric Research, State-of-the-Art Lecture on Gene Therapy for Genetic Diseases, New Orleans, LA, May 1998.
- 37. XXIII International Congress of the World Federation of Hemophilia-State-of-the-Art Plenary Session and Chair of Plenary distinguished lecture, The Hague, Netherlands, May 1998.
- 38. FASEB meeting on Mechanisms of Liver Growth and Differentiation in Health and Disease Chair and speaker on Liver Gene Therapy and Cellular Transplantation, Snowmass, CO, July 1998.
- 39. NHF Workshop on Gene Therapy for Hemophilia, San Diego, CA, November 1998.
- 40. International Conference on Gene Therapy and Molecular Biology, Redwood City, CA, April 1999.
- 41. NIH/FDA Workshop on Non-Clinical Toxicology Study, Design Issues for Development of AAV-Based Gene Therapeutics, Bethesda, MD, May 1999.
- 42. 8<sup>th</sup> Biennial International Congress on Liver Development, Gene Regulation and Disease, Orvieto, Italy, June 1999
- 43. Williamsburg CF Meeting on Recent Progress in Gene Therapy, Williamsburg, VA, June 1999.
- 44. American Heart Failure Society, San Francisco, CA, September 1999.
- 45. American Society of Human Genetics: Symposia on Gene Therapy, San Francisco, CA, October 1999.
- 46. National Hemophilia Foundation, Presymposia on Gene Therapy for Hemophilia, Dallas, TX, November 1999.
- 47. Gene Therapy Approaches for Diabetes and Its Complications, Rockville, MD, November 1999.
- 48. Keystone Symposium on Gene Therapy 2000, Keystone, CO, January 2000.
- 49. FASEB Liver Regeneration, Snowmass, CO, July 2000.
- 50. National Hemophilia Foundation, Workshop on Gene Therapy for Hemophilia, San Diego, CA, April 2001.
- 51. American Academy of Pediatrics-Educational Session-Gene Therapy: Pitfalls and Promises, San Francisco, CA, October 2001.
- 52. American Society of Hematology, Symposia on Gene Therapy for Hemophilia, A phase 1 liver-based clinical trial for hemophilia B, Orlando, FL, December 2001.
- 55. Gordon Conference on Hemostasis and Thrombosis, Colby, ME, July 2002.
- 56. World Congress of International Society of Hematology Plenary Speaker, Seoul, Korea, August 2002.
- 57. 10<sup>th</sup> Annual European Society for Gene Therapy Plenary Speaker, Niece, France, October 2002.
- 58. American Society for Microbiology Speaker, Banff, Alberta, Canada, March 2003
- 59. American Society for Human Gene Therapy Speaker, Workshop on RNAi, Washington DC, June 2003.
- 60. 1st Annual International Conference on Transposition and Animal Biotechnology Speaker, Minneapolis, MN, July 2003.
- 61. Falk Symposium Speaker, Germany, October, 2003.
- 62. Gordon Conference on Viral Vectors for Gene Therapy Speaker, Santa Barbara, CA, February 2004.
- 63. American Chemical Society Annual Meeting Speaker, Anaheim, CA, March 2004.

- 64. Keystone Symposium on siRNAs and miRNAs Speaker, Keystone, CO, April 2004.
- 65. RNAi Conference Speaker, Boston, MA, May 2004.
- 66. American Society for Gene Therapy Education Session, Gene Transfer in Liver, Minneapolis, MN, June 2004.
- 67. CHI RNAi Conference Speaker, San Francisco, CA June 2004.
- 68. FASEB Meeting on Liver Biology Speaker, Snowmass, CO, August 2004.
- 69. European Society of Gene Therapy Annual Meeting Speaker, Edinburgh, Scotland, November 2004.
- 70. European Society for Gene Therapy Speaker, Finland, November 2004.
- 71. Spanish Society for Gene Therapy Keynote Speaker, Pamplona, Spain, January 2005.
- 72. Bari International Hemophilia Conference Pizzomunno, Italy, May 2005.
- 73. American Society of Gene Therapy Symposia Speaker, St. Louis, MO, June 2005.
- 74. Japanese Society of Gene Therapy Plenary Session Speaker, Tokyo, Japan, July 2005.
- 75. Rennebohm Symposium, University of Wisconsin, Milwaukee, WI, September 2005.
- 76. Memorial Sloan Kettering Harold Varmus Presidential Symposium Speaker, September 2005.
- 77. Conference on Cell and Gene Therapy Speaker, Barcelona, Spain, October 2005.
- 78. University of Toronto Langdon Hall Conference-Gene Therapy Speaker, Toronto, Canada, May 2006.
- 79. Mary Crowley Gene Therapy for Cancer Speaker, Dallas, TX, September 2006.
- 80. Keystone meeting on RNAi/microRNA Speaker, Keystone, CO, January 2007.
- 81. International Society for Heart and Lung Transplantation Plenary overview on RNAi, San Francisco, CA, March 2007.
- 82. Gordon Conference on Human Genomics and Genetics Speaker, Newport, RI, July 2007.
- 83. Oligotherapeutics Society 13th annual meeting Speaker, Berlin, Germany, October 2007.
- 84. 50<sup>th</sup> Anniversary Reunion for University of Washington Medical Genetics, October 2007.
- 85. American Society of Hematology, Educational Session on Micro RNA/RNAi, December 2007.
- 86. Gordon Research Conference, Science of Viral Vectors, Ventura, California, March, 2008.
- 87. Keystone meeting on RNAi/microRNA Speaker, Keystone, CO, March, 2008.
- 88. Gene Therapy & Vaccines Student invitee, University of Pennsylvania, May 2008.
- 89. Drug Delivery and Translational Research Conference, New York City, May 2008
- 90. American Society of Gene Therapy, 11<sup>th</sup> annual meeting, two plenary talks Speaker, May 2008.
- 91. FASEB Liver meeting, Snowmass, Colorado, August 2008.
- 92. Keystone Meeting on RNA therapeutics Lake Louise, CA Feb. 2009. Session Chair and Plenary speaker
- 93. Keystone Meeting MicroRNAs in Cancer Keystone, CO June 2009. Plenary speaker
- 94. Oligonucleotide Therapeutic Society and Nucleic Acid Society of Japan. Speaker and Chair Nov, 2009. Fukuoka, Japan

95. RNAi: Therapeutics and Mechanism University of Hong Kong. Plenary Speaker Nov 2009. Hong Kong,

# F. Bibliography:

# Peer-reviewed articles

- 1. Kay, M.A., M. Jacobs-Lorena. 1985, Selective translational regulation of ribosomal protein gene expression during early development of <u>Drosophila Melanogaster</u>. Mol Cell Biology, 5:3583-3592.
- 2. Qian, S., J.-Y. Zhang, M.A. Kay, M. Jacobs-Lorena. 1987, Structure analysis of the <u>Drosophila</u> rpA1 gene, a member of the eucaryotic 'A' type ribosomal protein family. Nucleic Acids Res, 15:987-1003.
- 3. Kay, M.A., M. Jacobs-Lorena. 1987, Developmental genetics of ribosome synthesis in <u>Drosophila</u>. Trends Genet, 3:347-351.
- 4. Kay, M.A., J.-Y. Zhang, M. Jacobs-Lorena. 1988, Identification and germ line transformation of the ribosomal protein rp21 gene of <u>Drosophila</u>: Complementation analysis with the <u>Minute</u> QIII locus reveals nonidentity. Mol Gen Genet, 213:354-358.
- 5. Kay, M.A., W. O'Brien, B. Kessler, R. McVie, S. Ursin, K. Dietrich, E.R.B. McCabe. 1990, Transient organic aciduria and methemoglobinemia with acute gastroenteritis. Pediatrics, 85:589-592.
- 6. Kay, M.A., E.R.B. McCabe. 1990, <u>E. coli</u> Sepsis and Prolonged Hypophosphatemia Following Exertional Heat Stroke. Pediatrics, 86:307-309.
- 7. Kay, M.A., P. Baley, S. Rothenberg, F. Leland, L. Fleming, K. Ponder, T.J. Liu, M. Finegold, G. Darlington, W. Pokorny, S.L.C. Woo. 1992, Expression of human alpha-1-antitrypsin in dogs after autologous transplantation of retroviral transduced hepatocytes. Proc Natl Acad Sci USA, 89:89-93.
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- 9. Kay, M.A., Q.T. Li, T.J. Liu, F. Leland, M. Finegold, S.L.C. Woo. 1992, Hepatic Gene Therapy: Persistent Expression of Human ά1-Antitrypsin in Mice after Direct Gene Delivery In Vivo. Hum Gene Ther, 3:641-647.
- 10. Li, Q.T., M.A. Kay, L. S. Perricaudet, M. Finegold, S.L.C. Woo. 1993, Assessment of Recombinant Adenoviral Vectors for Hepatic Gene Therapy. Hum Gene Ther, 4:403-409.
- 11. Kolodka, T.M., M. Finegold, M.A. Kay, S.L.C. Woo. 1993, Hepatic Gene Therapy: Efficient Retroviral-Mediated Gene Transfer into Rat Hepatocytes In Vivo. Somati Cell Mol Genet, 19:491-497 erratum appears in vol 20:3 (1994).
- 12. Kay, M.A., S. Rothenberg, C. Landon, D. Bellinger, F. Leland, C. Toman, A. Thompson, M. Read, K. Brinkhous, S.L.C. Woo. 1993, In Vivo Gene Therapy of Hemophilia B: Sustained Partial Correction in Factor IX-Deficient Dogs. Science, 262:117-119.
- 13. Cristiano, R.J., L.C. Smith, M.A. Kay, B. Brinkley, S.L.C. Woo. 1993, Hepatic gene therapy: Efficient gene delivery expression in primary hepatocytes utilizing a conjugated adenovirus-DNA complex. Proc Natl Acad Sci USA, 90:11548-11552.
- 14. Kay, M.A., C.N. Lander, S.R. Rothenberg, L.A. Taylor, F. Leland, S. Wiehle, B. Fang, D. Bellenger, M. Finegold, A.R. Thompson, M.S. Read, K.M. Brinkhous, S.L.C. Woo. 1994, In vivo hepatic gene therapy: Complete albeit transient correction of factor IX deficiency in hemophilia B dogs. Proc Natl Acad Sci USA, 91:2353-2357.

- 15. Jones, D., M.A. Kay, W. Craigen, E. McCabe, H. Hawkins, A. Dominey. 1995, Coal-black hyperpigmentation at birth in a child with congenital adrenal hypoplasia. J Am Acad Dermatol, 33:323-326.
- 16. Kay, M.A., F. Graham, F. Leland, S.L.C. Woo. 1995, Therapeutic serum concentrations of human alpha 1-antitrypsin after adenoviral-mediated gene transfer into mouse hepatocytes. Hepatology, 21:515-519.
- 17. Barr, D., J. Tubb, D. Ferguson, A. Scaria, A. Lieber, C. Wilson, J. Perkins, M.A. Kay. 1995, Strain related variations in adenoviral-mediated transgene expression from mouse hepatocytes in vivo: Comparisons between immunocompetent and immunodeficient inbred strains. Gene Ther, 2:151-156.
- 18. Lieber, A., M.J. Vrancken-Peeters, M.A. Kay. 1995, Adenovirus-Mediated Transfer of the Rat Retrovirus Amphotropic Receptor cDNA Increases Retrovirus Transduction in Cultured Cells. Hum Gene Ther, 6:5-11.
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- 20. Lieber, A., M.J. Vrancken Peeters, L. Meuse, N. Fausto, J. Perkins, M.A. Kay. 1995, Adenovirus mediated urokinase gene transfer induces liver regeneration and allows for efficient retrovirus transduction of hepatocytes in vivo. Proc Natl Acad Sci USA, 92:6210-6214.
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- 25. Liu, M.L., B. Winther, M.A. Kay. 1996, Pseudotransduction of Hepatocytes by Using Concentrated Pseudotyped Vesicular Stomatitis Virus G Glycoprotein (VSV-G)-Moloney Murine Leukemia Virus-Derived Retrovirus Vectors: Comparison of VSV-G and Amphotropic Vectors for Hepatic Gene Transfer. J Virol, 70:2497-2502.
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- 29. Lieber, A., C.Y. He, S. Polyack, D. Gretch, D. Barr, M.A. Kay. 1996, Elimination of Hepatitis C Virus RNA in Infected Human Hepatocytes by Adenovirus-Mediated Expression of Ribozymes. J Virol, 70:8782-8791.
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- 33. Vrancken Peeters, M.J., G. Patijin, A. Lieber, L. Meuse, M.A. Kay. 1996, Adenovirus-Mediated Hepatic Gene Transfer in Mice: Comparison of Intravascular and Biliary Administration. Hum Gene Ther, 7:1693-1699.
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